

Indiana Department of Transportation Airport Development Fund Grant Programs

I. Introduction

Indiana has approximately 116 public-use airports. 70 of these airports are considered to be critical to the Indiana air transportation system and therefore are included in the Indiana State Aviation System Plan (ISASP). See Table 1. Of these 70 public-use airports, 66 are considered to be of critical importance to the National air transportation system and therefore are included in the Federal Aviation Administration's (FAA) National Plan of Integrated Airport Systems (NPIAS).

The Indiana Department of Transportation's (INDOT's) Airport Development Fund program is used to develop the 70 ISASP public-use airports that are critical to the Indiana air transportation system. The practices, procedures and policies that govern the grant process used by the Office of Aviation to develop these 70 critical public-use airports are addressed below.

IC 8-21-11-5 (a) provides that the department shall adopt rules under IC 4-22-2 to establish a program to foster airport development in Indiana with special emphasis on improvement of airports as an economic development tool.

II. Roles and Responsibilities:

Administering Agency

The Indiana Department of Transportation's Office of Aviation administers the grant fund. Specifically the Office of Aviation's responsibilities include:

- Providing technical assistance concerning airport development;
- Maintaining a 5 year rolling capital improvement forecast of projects and funding level requirements;
- Processing State grants for capital improvement projects;
- Monitoring progress of State grant projects;
- Processing and approving payment requests for completed work;
- Verifying work was completed as agreed and record drawings were received.; and
- Closing State grants upon completion of projects.

Local Airport Sponsor

The local airport sponsor responsibilities shall include, but are not limited to:

- Prepare and submit design specifications.
- Prepare and submit required environmental documents.
- Prepare and obtain all required permits for the project.
- Prepare project bid package and award contract.
- The sponsor of an approved airport development project must provide the sponsor's share of funds for the project, per IC 8-21-1-14(d).

III. Appropriations

The Indiana State Legislature appropriates funds to the Airport Development Fund every 2 years.

IV. Programs, Eligible Recipients, and Eligible Projects

- A. *Federal Matching Grants*** – An Indiana airport, except Indianapolis International Airport, that receives an FAA airport grant is eligible for a state Federal Matching Grant. The matching rate will depend on available funding at the time the grant is approved.

- B. *State/Local Grants*** – Public use airports that are in the ISASP, See Table 1, are eligible for a State/Local grant up to a maximum of fifty percent (50%) of projects eligible costs. This program shall make available a method for enhancing a local community's economic development by helping provide the desired corporate services for modern business aircraft necessary to attract new local commerce opportunities.

The eligible State/Local projects shall include, but are not limited to:

- Runway extensions
- Terminal buildings (new construction or remodel)
- Hangars, including maintenance and overnight transient corporate storage facilities
- Aircraft fuel services

V. The Federal Matching Grant Program Process

The Airport Development Fund program process is comprised of the following sections and is explained below:

- Federal Matching Grant Application;
- Project Selection;
- Federal Matching Grant Agreements.

Application for a Federal Matching Grant

To apply for a Federal Matching Grant the airport sponsor must submit a copy of their FAA grant agreement to the Indiana Department of Transportation's Office of Aviation.

Applications will no longer be accepted for amendments to federal grants unless the FAA has approved them on or before September 30, 2011.

Project Selection

The Office of Aviation's Chief Airport Engineer will determine which project applications to fund using the following criteria:

- Highest priority is given to a project that is a safety or security effort.
- Priority consideration is also given to projects that advance economic development.
- The amount of significant impact the project would have on the ISASP is also highly considered.

Federal Matching Grant Agreement

After an application for a Federal Matching Grant has been approved by the Office of Aviation, the Office of Aviation will prepare a grant agreement. The Office of Aviation will send the grant agreement to the airport sponsor for signature. After the airport sponsor signs and returns the grant agreement the Office of aviation will forward the document to the approving authority at INDOT, the Indiana Department of Administration, the Indiana State Budget Agency, and the Indiana Attorney General for their approval. The grant is effective on the date it is signed by the Indiana Attorney General and terminates four years thereafter.

VI. Payment Request

The payment request process for Indiana Airport Development Fund Grant is as follows:

Submit your reimbursement request on a copy of the unique Indiana Department of Transportation Contract Invoice - Voucher (State Form 3211) that accompanied the grant purchase order when it was first sent to you. A copy of the unique voucher that matches the purchase order for a specific grant must be used when seeking reimbursement for expenditures against that grant. The voucher must be properly completed; show the amount of reimbursement requested and shall be accompanied by the following:

1. An itemized spreadsheet of all invoices which total the amount upon which the reimbursement request is based. There should also be a clear cost breakdown of the invoice total reflecting the FAA, the State, and the local shares of project cost.
2. Copies of all invoices for work and eligible services upon which the reimbursement request is based
3. As-Built drawings for a construction project in PDF form on a CD, and a copy of the final inspection meeting minutes, must also accompany the final reimbursement request. Any outstanding work items identified in the final inspection must have been satisfactorily addressed.

Payment requests that are received without all required documentation may be returned for the required support documents.

VII. Appeals

Any applicant receiving a denial of their grant application may appeal that decision to the Manager of the Office of Aviation. The Manager will review all appeals.

The process for filing an appeal is as follows:

- The applicant must send, by certified mail, a request for an appeal within 15 calendar days after receiving notification of INDOT's denial.
- The appeal should be mailed to:
Kevin G. Rector
Manager, Office of Aviation
Indiana Department of Transportation
100 North Senate Avenue, Room N955
Indianapolis, IN 46204-2219
- A preliminary review will be conducted within five business days.
- The Manager of the Office of Aviation will make a final decision within 15 business days from the receipt of the appeal request or from the receipt of any additional requested information.
- The applicant will be notified in writing within five business days after the final decision is reached;
- The applicant may appeal INDOT's final decision in accordance with IC 4-21.5-3-1 et seq.

VIII. Time Extensions

Because of the 4 year period grantees should not expect to receive an extension. An expired grant cannot be renewed or amended. However, if you want to amend a grant, the grantee must provide the Office of Aviation with a signed amendment prior to the expiration of the original grant. If the grantee believes there are highly unusual circumstances surrounding their case, requests for extensions should be sent to the Manager of the Office of Aviation.

IX. Project Close-Out

Purchase orders will be closed after the final reimbursement payment is made or the 4 year term expires, whichever comes first. When an Airport Development Fund project is complete and the grant amount exceeds the expenditure amount, INDOT will de-obligate the excess funds.

Eligible Applicants for State/Local Grants (Table 1)

Anderson Municipal
Boone County Airport
Clark Regional
Columbus Municipal
Davies County
Delaware County
Elkhart Municipal
Fort Wayne International
Freeman Municipal
Fulton County
Goshen Municipal
Greenwood Municipal
Hendricks County
Huntington Municipal
Indianapolis Eagle Creek
Indianapolis International
Jasper County
Kentland Municipal
LaPorte Municipal
Madison Municipal
Mettel Field
Monroe County
New Castle-Henry County
Paoli Municipal
Peru Municipal
Porter County Municipal
Purdue University
Randolph County
Salem Municipal
Sheridan
South Bend Regional
Sullivan County
Tri-State/Steuben County
Wabash Municipal
White County

Arens Field
Brazil-Clay Co Municipal
Clinton Airport
Crawfordsville Municipal
DeKalb County
Delphi Municipal
Evansville Regional
Frankfort Municipal
French Lick Municipal
Gary/Chicago International
Greensburg-Decatur County
Griffith-Merrillville
Huntingburg
Indianapolis Downtown Heliport
Indianapolis Executive
Indianapolis Metro
Kendallville Municipal
Kokomo Municipal
Logansport/Cass County
Marion Municipal
Michigan City Municipal
Mount Comfort
North Vernon Municipal
Perry County Municipal
Plymouth Municipal
Portland Municipal
Putnam County
Richmond Municipal
Shelbyville Municipal
Smith Field
Starke County
Terre Haute International
V I Grissom Municipal
Warsaw Municipal
Grissom AFB

Rev. 9-1-2013